

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
FIS920040194US1In Re Application Of: **HAINING YANG ET AL.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/711,897	10/12/2004	NYA	29371	2811	2896

Title: **METHOD AND STRUCTURE FOR IMPROVING CMOS DEVICE RELIABILITY USING COMBINATIONS OF INSULATING MATERIALS****RECEIVED**
CENTRAL FAX CENTERAddress to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

JAN 17 2005

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

P10A/REV05

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
FIS920040194US1

In Re Application: HAINING YANG ET AL.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/711,897	10/12/2004	NYA	29371	2811	2896

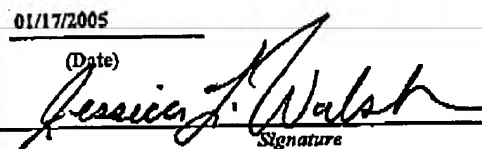
Title: **METHOD AND STRUCTURE FOR IMPROVING CMOS DEVICE RELIABILITY USING COMBINATIONS OF INSULATING MATERIALS****RECEIVED**
CENTRAL FAX CENTER**Payment of Fee**

JAN 17 2005

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**Certificate of Transmission by Facsimile***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)	
01/17/2005	(Date)
	
Signature	
Jessica L. Walsh	
Typed or Printed Name of Person Signing Certificate	

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" (37 CFR 1.8(a)) on _____	
(Date)	
_____ Signature of Person Mailing Correspondence	
_____ Typed or Printed Name of Person Mailing Certificate	

***This certificate may only be used if paying by deposit account.**

Signature

Dated: January 17, 2005

Sean F. Sullivan
Reg. No. 38,328
Cantor Colburn LLP
55 Griffin Road South
Bloomfield, CT 06002
860-286-2929

cc:

P10A/REV05

Sheet 1 of 3

FORM PTO 1449 (REV 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	File No. FYS920040194US1	Serial No. 10/711,897
		Applicant(s): Haining Yang et al.	
		Filing Date: 10/12/2004	Group: 2811

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	4,851,370	07/25/1989	Doklan et al.	437	225	12/28/1987
	2	5,506,169	04/09/1996	Guldi	437	70	10/20/1994
	3	5,538,916	07/23/1996	Kuroi et al.	437	72	04/20/1994
	4	5,580,815	12/03/1996	Hsu et al.	437	69	02/22/1994
	5	5,620,919	04/15/1997	Godinho et al.	438	230	03/30/1995
	6	5,633,552	05/27/1997	Lee et al.	310	311	07/10/1994
	7	5,668,403	09/16/1997	Kunikiyo	257	639	09/03/1996
	8	5,707,889	01/13/1998	Hsu et al.	437	69	05/13/1996
	9	5,891,798	04/06/1999	Doyle et al.	438	624	12/20/1996
	10	5,908,312	06/01/1999	Cheung et al.	438	287	05/28/1997
	11	5,985,737	11/16/1999	Wu	438	448	03/04/1998

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)

Examiner

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 3

FORM PTO 1449 (REV 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	File No. RIS920040194US1	Serial No. 10/711,897
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant(s): Haining Yang et al.	
		Filing Date: 10/12/2004	Group: 2811

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12	5,633,202	05/27/1997	Brigham et al.	438	763	06/06/1996
	13	5,847,463	12/08/1998	Trivedi et al.	257	751	08/22/1997
	14	6,040,619	03/21/2000	Wang et al.	257	649	09/25/1997
	15	6,046,494	04/04/2000	Brigham et al.	257	640	01/31/1997
	16	6,146,975	11/14/2000	Kuehne et al.	438	437	07/10/1998
	17	6,214,733 B1	04/10/2001	Sickmiller	438	691	11/17/1999
	18	6,228,777 B1	05/08/2001	Arafa et al.	438	740	06/08/1999
	19	6,261,924 B1	07/17/2001	Mandelman et al.	438	430	01/21/2000
	20	6,306,742 B1	10/23/2001	Doyle et al.	438	591	01/05/1999
	21	6,395,610 B1	05/28/2002	Roy et al.	438	354	06/20/2000

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)

Examiner	Date considered
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 3 of 3

FORM PTO 1449 (REV 2-32) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	File No. FIS920040194US1	Serial No. 10/711,897
	Applicant(s): Haining Yang et al.	
	Filing Date: 10/12/2004	Group: 2811

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	22	6,436,848 B1	08/20/2002	Ramkumar	438	777	03/30/1999
	23	6,476,462 B2	11/05/2002	Shimizu et al.	257	627	12/07/2000
	24	6,509,230 B1	01/21/2003	Roy et al.	438	261	06/20/2000
	25	6,515,351 B2	02/04/2003	Arafa et al.	257	644	02/28/2001
	26	6,573,172 B1	06/03/2003	En et al.	438	626	09/16/2002
	27	6,825,529 B2	11/30/2004	Chidambarrao et al.	257	336	12/12/2002

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)

Examiner

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.